

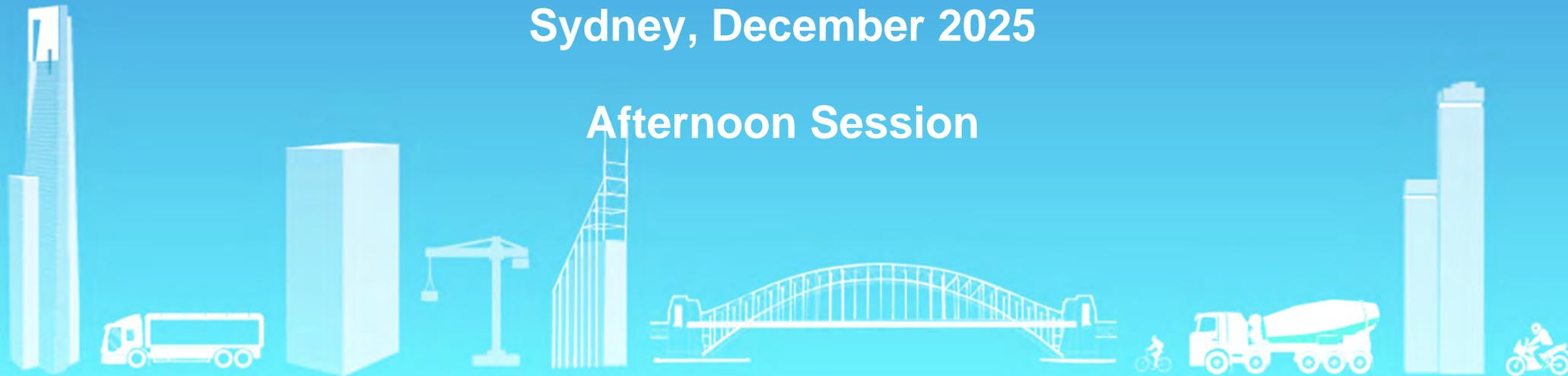


CLOCS-A Safety Forum

Vision Zero in Construction Logistics

Sydney, December 2025

Afternoon Session





Safer, leaner and greener construction logistics

Presenter:

Daniel Kelly

Select Plant Hire

28th December 2025



Introducing CLOCS-A



CLOCS-A:

- National Standard
- Safest construction vehicle journeys
- Reducing risk to vulnerable road users
- Improving air quality and congestion
- Driving operational efficiencies.

It brings **regulators, government clients, developers, contractors, logistics companies and fleet operators** together to maximise the many commercial and social benefits associated with safer, leaner and greener construction logistics.

CLOCS-A accreditation signals a dedication to a culture of safety and community consideration. It not only demonstrates leadership but will help shape industry standards for years to come making our roads safer for everyone.

Why CLOCS-A?



Cyclist dies after collision with truck in West Melbourne hours after two separate fatal crashes

Posted Thu 2 Feb 2023 at 3:55am, updated Sun 12 Feb 2023 at 11:28pm



A cyclist was killed in a collision with a truck in West Melbourne. (ABC News)

A cyclist has died after being hit by a truck in West Melbourne, after two earlier fatal collisions across the city this morning.

Carolyn Lister died in a truck driver's blind spot, five years after a call for change

By Felicity Cashwell
October 12, 2023 - 9:27pm

Forensic Crash Unit police found the truck had a large blind spot, and an object 1.7 metres tall would need to be at least 2.8 metres in front of the tip truck before the driver could see it.

The truck was not required to, and did not have, blind spot mirrors, cameras or sensors.



The scene on Bowen Bridge Road after Carolyn Lister's death. (ABC News)

Changes urged after tragic death of cyclist Arzu Karakoc

A coroner has called for major changes after a Melbourne mum was killed while cycling to see a friend.

By Eoin Reid
November 22, 2021 - 7:08PM

Changes to traffic signals, a popular cycling route and heavy vehicle standards are among recommendations a coroner has made after a Melbourne mum was killed while cycling to see a friend.

The findings into the death of cyclist Arzu Karakoc at Yarraville, in Melbourne's inner west, have been handed down in the Coroners Court of Victoria.

They included nine recommendations.

Elderly woman holding husband's hand when she was fatally hit by truck identified

By Sarah Dwyer
November 22, 2021 - 7:08PM

Published: 10/11/2021
Updated: 10/11/2021



Police are looking at dash-cam footage to help explain how an elderly lady was killed by a cement truck.

Share on Facebook, Twitter, and LinkedIn

An elderly woman who died while holding her husband's hand to cross a busy Sydney road has been identified.

Two fatalities within minutes on Sydney's roads

By Matt Bergard
Updated April 1, 2020 - 5:24pm, first published at 2:14pm



Save, Share, Print, A, A, A

A motorcycle rider and a cyclist have been killed just minutes apart in two separate incidents on Sydney's roads on Thursday afternoon.



Paramatta Road after a cyclist was hit by a truck. (ABC News)

National and State Government Prioritise CLOCS-A?



Why CLOCS-A?



- HVs are disproportionately involved in collisions with Vulnerable Road Users
- HVs typically account for only **10%** of urban traffic miles in Australia but are involved in:
 - **13%** of pedestrian fatalities
 - **4%** of cyclist fatalities
 - **17%** of motorcyclists
- There are roughly four times as many construction-related fatalities occurring outside the hoarding compared to inside

Your legal responsibility

In construction, the Work Health and Safety (WHS) duty of care requires businesses and workers to ensure a safe environment for everyone involved, including employees and the public. This includes all vulnerable road users.

Stakeholders

A need for a consistent approach across industry and for stakeholders to collaborate within an agreed standard.





Benefits of implementing CLOCS-A

CLOCS-A provides a consistent, national approach to procuring construction activities, ensuring contractors and supply chains meet standards that protect road users and communities.

- Improved logistics efficiency through better planning and use of alternative transport modes, saving time and money.
 - Reduced emissions via efficient traffic movement, holding areas, and consolidation centres.
 - Reduced congestion through smart route planning and avoiding peak times.
 - Demonstrates leadership and helps shape industry standards.
-

CLOCS-A Standard



- Defines key requirements for stakeholders on a construction project.
- Combines industry best practices into a standard with detailed, collaborative requirements.
- Aims to reduce community harm from construction vehicle movements.





Scope

- CLOCS-A provides a consistent, national approach to procuring construction activities, ensuring contractors and supply chains meet standards that protect road users and communities.
- Developers specify if CLOCS-A applies based on risk and local authority requirements.
- By default, it applies to all projects involving construction vehicle movements.

Working within the 'spirit of CLOCS-A'

- Developers specify if CLOCS-A applies based on risk and local authority requirements.
 - By default, it applies to all projects involving construction vehicle movements.
 - Assume CLOCS-A applies, assess and agree any exclusions with the client.
 - Understand each requirement's intent and consider safe alternatives where needed.
 - Justify any exemptions with documented discussions or risk assessments.
-



What does it involve?

For developers:

- Define scope of CLOCS-A compliance
- Ensuring risk assessments consider vulnerable road users
- Procurement and defining standards through supply chain
- Develop and implement Construction Logistics Plans
- Monitoring compliance and investigating non-compliance

[Resources](#)

What does it involve?

For principal contractors:

- Implement and maintain **Construction Logistics Plans** and **Construction Traffic Management Plans**
- Use of delivery management systems
- Vehicle routing
- Site operations – access and egress, loading and unloading
- Site access traffic management
- Community engagement
- Monitoring compliance and investigating non-compliance

[Resources](#)

Adopting CLOCS-A will help



- Manage the impact of construction vehicle activity and the associated risk, protecting vulnerable road users within communities
 - Improve site operations through a robust gate check process and proper assessments of ground conditions
 - Reduce congestion and associated environmental impacts through smarter logistics planning
 - Reduce complaints from those affected by construction traffic and boost local community satisfaction by providing safer streets for all
 - Reduce workloads, save resources and provide consistency in construction logistics planning.
-

Construction Logistics Management Plans (CLMP)



- CLMPs address how construction supply chains can reduce their road network impact, covering all goods, waste, and service movements.
- A CLMP outlines how to manage construction vehicle activity, promote modal shift, and reduce vehicle numbers, including:
 - Expected traffic volumes
 - Planned vehicle routes
 - Community impacts
 - Traffic management measures
 - Policies supporting modal shift



Construction Logistics Management Plans (CLMP)



- CLOCS-A Project Rating Tool and template available on the CLOCS-A website.
- Plan measures to minimise vehicle trips and collision risks with vulnerable road users.
- Engage key suppliers/fleet operators in developing the Human Impact Risk Assessment (HIRA).
- Specify risk-assessed vehicle routes.
- Review regularly as the project progresses.
- Monitor compliance.

CLOCS-A provides access to a Project rating and Human Impact Risk Assessment tools for use.



CLOCS-A Project Assessment Tool

Organization Name: **ABC Logistics** Contact Person: **John Smith** Date: **Sep-24**

Impact Category	Measures					Vehicle Journeys	Risk Band
	1	2	3	4	5		
Transport mode	0 to \$1m	\$1m to \$25m	\$25m to \$50m	\$50m to \$75m	> \$75m	\$0m to \$25m	3
Level of Roadside Population (LRP) density (people / hect) in the 1 square kilometre of land surrounding the project site entrance	< 500	500 to 2,000	2,000 to 5,000	5,000 to 8,000	> 8,000	1,000 to 5,000	4
Average daily number of HV vehicles into the project site	< 10	10 to 25	25 to 50	50 to 100	> 100	25 to 50	3
Distance (along the approved route) from the project site entrance to an adjacent road or highway	< 0.5km	0.5 to 1.0 km	1 to 2 km	2 to 3 km	> 3km	> 3km	5
Number of the following items at the end line of the approved route to the project site entrance: • Reduced access • Freeway on-ramp/merge • Pedestrian crossings • Shopping centres • Sporting Fields	Zero	1 to 3	4 to 6	6 to 10	> 10	4 to 6	3
Highway (2-lane traffic) density (vehicles / km) on the section of road in the 1km from the approved route to the project site entrance	< 500	500 to 2,000	2,000 to 10,000	10,000 to 50,000	> 50,000	2,000 to 10,000	3
Number of intersections within the 1km from the approved route to the project site entrance	Zero	1 to 10	10 to 20	20 to 35	> 35	10 to 20	3
						AVERAGE	3.1

Construction Traffic Management Plan (CTMP)



CLOCS-A requires principal contractors to appoint competent traffic marshals to:

- Manage site traffic under the delivery management system.
- Verify vehicles and drivers meet CLOCS-A requirements.
- Confirm specified routes were followed.
- Check equipment before departure (e.g. tyres, load).
- Report non-compliance.
- Stay alert to risks affecting road user and site safety.

CLOCS-A VRU training available to CLOCS-A members to reinforce to company drivers of the risk impacts of trucks operating within the community

Monitoring compliance



- Require regular reports evidencing fleet and site compliance with the CLOCS-A Standard.
- Identify trends and need for corrective action.
- Accredited sites must conduct self-assessments and report incidents to CLOCS-A immediately.



What next?



- Review the CLOCS-A Standard to understand requirements.
 - Self-assess projects to identify gaps and good practices; address gaps and recognise successes.
 - Provide VRU training for all personnel.
 - Require CLOCS-A HIRA or equivalent rating tool on all projects.
 - Implement formal CLOCS-A monitoring.
 - Establish a road risk forum to share best practice and address challenges.
-

The question shouldn't be 'why CLOCS-A?'

The question should be 'why not?'





Delivery Management System

CLOCS-A Safety Forum - Vision Zero in Construction Logistics
December 2025

08 December 2025

SELECTPLANT.COM.AU

Acknowledgement Of Country

I would like to acknowledge the First Nations Peoples as the Traditional Custodians of the lands across Australia, and in particular the Dharug People, on whose Country we are gathered today. We pay our respects to their Elders, past and present, and to all First Nations Peoples.



CLOCS-A Requirements

As a Principal Contractor

- Identify and mitigate risks to community
- Develop and maintain a Construction Logistics Management Plan (CLMP)
- Procure responsibly – ensure supply chain comply with the CLOCS-A standard
- Promote Safe Site Operations:
 - Safe haulage routes
 - Safe access / egress
 - Stable loading / unloading zones
 - Effective delivery management systems
 - Qualified site traffic marshals
- Perform compliance checks on Heavy Vehicles and Drivers
- Address non-compliances through risk mitigation and procurement actions
- Monitor compliance – independent review at least every 6 months
- Incident investigation – review, investigate and prevent reoccurrence



Delivery Management System

To Comply with CLOCS-A requirements

The use of a DMS on the project will:

- Centralised delivery scheduling for full project visibility
- Improved coordination between subcontractors and delivery teams
- Provide a direct communication platform with your supply chain
- Monitor driver and vehicle compliance
- Build a reliable, auditable database for governance and supply chain audits
- Streamlines booking, tracking, and CoR compliance
- Reduces manual coordination (calls, spreadsheets)
- Optimizes resource use and boosts productivity

Delivery Management System

Project and Site set up

- Project set up
 - Home Page
 - Key contacts
 - Important links
 - VMPs
 - Site access information

datascope Home Fremantle Bridges Alliance AU Current Site Time: 26/11/2023 11:35 Ruth Vargas

Select

KEY CONTACTS

ROLE	NAME
Workforce - Recruitment & Mobilisation	Tania Day & Leanne Martin
LOR WA Learning Hub	Benjamin Ivey
Superintendent - North	John Sabin
Senior Supervisor - South	Danny Critchell
Superintendent - Services	David Lonergan
Superintendent & Project Crane AP	Ben Benedict
Senior Supervisor - Civils	John Lonergan
General Superintendent	David Breslin
Superintendent - South	Tom Kent
Construction Manager	James O Williams
Open Teams	Open Meetings for Assistance

IMPORTANT LINKS

- Route Map to Site
- Site Rules & Induction information
- User Guide

SITE INFORMATION

Please report to office reception upon arrival to site.

Main Address - 50 Tydemans Road, North Fremantle, WA 6159
Mailing Address - PO Box 203, North Fremantle, WA 6159

Rethinking safety through Inclusion + Wellbeing

ENGINEERED SAFETY

Engineered Safety is about **engineering out risk and engineering in health** at every stage of the project lifecycle. This is achieved through working closely with our designers, clients and suppliers, and employing a **modern method of construction** approach.

DELIVERY EXCELLENCE

Delivery Excellence ensures our **industry leading health and safety management system** remains at the core of everything we do. It provides our people with access to the best systems, tools, and processes to improve all elements of physical and mental wellbeing.

HUMAN PERFORMANCE

Human Performance acknowledges the importance of providing a working environment which **supports people's holistic health**, enables them to thrive. By empowering people to maximise their **personal energy and capacity levels** we sustain a high performance workplace.

Delivery Management System

Project and Site set up

- Project set up
 - Site Set up
 - Gate Set up
 - Working Hours
 - Laydown areas
 - Unloading methods
 - Authorised vehicles type

Edit Site Details

Site Address: 15 Close Street, Canterb

Postcode: ct40ga

Contact No:

Auto Accept Bookings: On

Auto Accepts Bookings up to the Max Requests per slot

Weekday opening times:
Open Time: 05:00
Close Time: 18:00

Weekend opening times:
Open Time: 08:00
Close Time: 18:00

Days Open: Mon Tue Wed Thu Fri Sat Sun

Delivery time slot parameters:
Slot Duration (minutes): 30
Max Delivery Requests per Slot: 21
Max Delivery Duration (HH:MM): 10:00

Block booking parameters:
Max Block Booking Size (Days): 21

Delivery booking notice period for contractors:
 Set notice period in full days
Notice period is the minimum number of full calendar days between 23:59 on the day of booking request and 00:00 on the day of expected delivery.
Notice Period in Days: 1

Set notice period in hours
Notice period is the minimum number of hours between the time of booking request and the time of expected delivery.
Notice Period in Hours: 0

Manage Delivery Gates

List of Gates:

- 10 Hutton St, Hurststone Park
- 10-12 Broughton St, Canterbury
- 15 Close St, Canterbury
- 2 Cannington Road, Marnickville
- BA2 - Depot Place, Bankstown
- BE2 - Taborik Ave Car Park, Belmore
- BE4 Railway Parade - Station, Belmore
- BE7 - The Boulevard, Belmore
- Belmore Triangle
- CB1 - Charles St, Canterbury
- CB5 - Walms St, Canterbury
- CB7 - South Parade, Canterbury
- CB9 - North Parade, Canterbury
- CP1 - Wildred Ave/Asset St, Campsie
- CP4 - Loftus St / Lillian Ln, Campsie
- CP5 - Redman Rd / Hill St, Campsie
- CP6 - Redman Parade, Belmore
- DU2 - Ewart Lane, Dulwich Hill
- DU5 - Ewart St (West of Terrace Rd), Dulwich Hill
- DU8 - Garnett St, Dulwich Hill

Open Times Configuration:

Day	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	09:00	
Sunday																				
Monday																				
Tuesday																				
Wednesday																				
Thursday																				
Friday																				
Saturday																				

Buttons: Add Time Range, Save Changes

Delivery request

The screenshot displays the Datascope web application interface. The top navigation bar includes the Datascope logo, home icon, 'Fremantle Bridges Alliance AU', and user information: 'Current Site Time: 26/10/2025 10:22', 'Push Messages', and 'Log Out'.

The main content area is divided into two primary sections:

- Delivery Booking:** A form on the left with fields for 'Booking To Site' (Fremantle Bridges Alliance AU), 'Delivery Date', 'Delivery Time', 'Delivery Duration', 'On Site Contractor', 'On Site Contact Name', 'Contact Number', 'Contact Email', and 'Booking Type'. A 'Check Date' button and a 'Block Booking' button are also present.
- Deliveries Schedule:** A table view on the right showing a list of deliveries. The table has columns for Booking ID, Load description, Arriving, Leaving, Delivery status, Actual Arrival, Actual Departure, Gate, Company, Vehicle type, Laydown area, Requested At, Submitted, Multidrop, Tracked, Vehicle Reg, Unloading Method, and Booking Type. The table contains numerous rows of data, with some rows highlighted in green (Accepted) and others in purple (Withdrawn).

Below the 'Delivery Booking' form, there are sections for 'Materials' (with a note: 'Materials - Enter the information and click "Add Materials" to add it to the booking'), 'Category', 'Hazardous', 'Items', and 'Arrival' (with fields for 'Laydown Area', 'Unloading Level', and 'Unloading Zone'). A 'Back' button is located at the bottom left of the form area.

Driver compliance

Driver compliance, can be built around project and client requirements
Documentation can be accessed in real time by authorized team members.

Add New Driver

Please note that a driver should only be added if they are not currently available to be selected.

Driver Name:

Mobile Phone No.

Light Vehicle Driver Heavy Vehicle Driver

Driving Licence Photo: No file chosen

Delivery Driver Induction and Declaration: No file chosen

Road Safety matters certificate: No file chosen

Planning your trip certificate: No file chosen

Driving towards zero certificate: No file chosen

Support certificate: No file chosen

RIIVEH305F Operate and maintain a four wheel drive veh: No file chosen

Export To: csv (standard for spreadsheet programs)

Site: All Companies
Generated At: 30/10/2025 11:02:27 (AEDT)

Driver ID	Driver Name	Haulage Company Name	Company Name	Project Driver Requirements	Number of Visits
127	Jackie Cullen	Austp Recycling	Austp Recycling		0
128	Ben Rissner	Austp Recycling	Austp Recycling		0
129	Michael Pines	Austp Recycling	Austp Recycling		0
130	Kevin Clarke	SJ READ	SJ Read Pty Ltd		0
131	Adam Smith	P & M Galea Plant Hire	P & M Galea Plant Hire		0
132	Andrew Ryan	P & M Galea Plant Hire	P & M Galea Plant Hire		0
133	Paula Gale	Perfect Contracting	Perfect Contracting		0
134	Timothy Scallaghan	P & M Galea Plant Hire	P & M Galea Plant Hire		0
135	Shayne Davies	Austp Recycling	Austp Recycling		0
136	Phil Houlton	P & M Galea Plant Hire	P & M Galea Plant Hire		0
137	George Gostali	P & M Galea Plant Hire	P & M Galea Plant Hire		0
138	Timothy Doolan	Elite Haulage	Elite Haulage PTY LTD / Elite Group Sydney		0
142	Brian Smith	Elite Haulage	Elite Haulage PTY LTD / Elite Group Sydney		0
160	Mark Malloy	Elite Haulage	Elite Haulage PTY LTD / Elite Group Sydney		0
164	Matthew Hill	Elite Haulage	Elite Haulage PTY LTD / Elite Group Sydney		0
185	David Sullivan	Austp Recycling	Austp Recycling		0
186	William Haskett	Big Lift Cranes	Big Lift Cranes		0
188	Neil	Big Lift	Big Lift Cranes		0
189	Mark Collins	DJD Brick & Blocklaying Pty Ltd	DJD Brick & Blocklaying Pty Ltd		0
190	Mark Edgar	Perfect Contracting	Perfect Contracting		0

Vehicles

Haulage Company:

Driver:

Vehicle Reg:

Delivery Vehicle:

Does the booking have a trailer?:

Dispatch Postcode:

Total Distance(km):

CO₂ Class:

Return Journey:

Quantity:

Vehicle compliance

The vehicle compliance must meet all project specific requirements
To ensure compliance, projects can impose a limit on vehicles visits

Add New Vehicle

Please note that a vehicle should only be added if it is not currently available to be selected.

Haulage Company: Datoscope

Vehicle Registration:

Vehicle Type: Select..

Clocs -A Accreditation:

Project Inspection ID:

Close

Vehicle Reg

Delivery Vehicle

Does the booking have a trailer?

Dispatch Postcode

Total Distance(km)

CO₂ Class

Return Journey

Quantity

Add Materials

Category

Unloading

Unloading support required

Select..

XO5QRU

XRF 678

Y33JDR

112 233

ABC123

KM67

QSC678

40084D

CZ98RK

XN19BA

XO83TJ

XO99DS

XP19FB

761421421

ABC D12

TEST1

TEST11

TEST3

TEST3121

ABC 123

Compliance and reporting

Delivery Reports

Reports	Report Criteria
Deliveries	From: 27/11/2025 To: 27/11/2025
CO ₂ Emissions	Company: All Companies
Delivery Performance	Region: All Regions
Late Deliveries	Site: Sydenham to Bankstown AU
No-Show Deliveries	
Booked vs. Actual Vehicles	
Unplanned Deliveries	
Unplanned CO ₂ Emissions	
Delivery Checklist	
Arrived Deliveries	
Deliveries Refused At Booking Time	
Deliveries Refused On The Tablet	
Live	
Vehicles On Site	
Charts	
Deliveries Over Project (Monthly)	
Arrived Over Project (Monthly)	
CO ₂ Over Project (Monthly)	

Back

The system should provide a range of reports that supports the team:

- track compliance,
- Reports on CoR requirements
- past deliveries

Delivery Admin Reports

Reports	Report Criteria
Drivers	From: 27/11/2025 To: 27/11/2025
Hauliers	Company: All Companies
Deliveries Between Dates	Region: All Regions
	Site: Sydenham to Bankstown AU

Back

For more information or a demonstration:

Reach out to Select Logistics:

Email: selectlogisticsaus@selectplant.com.au

Phone: 1300 752 684



Thank you

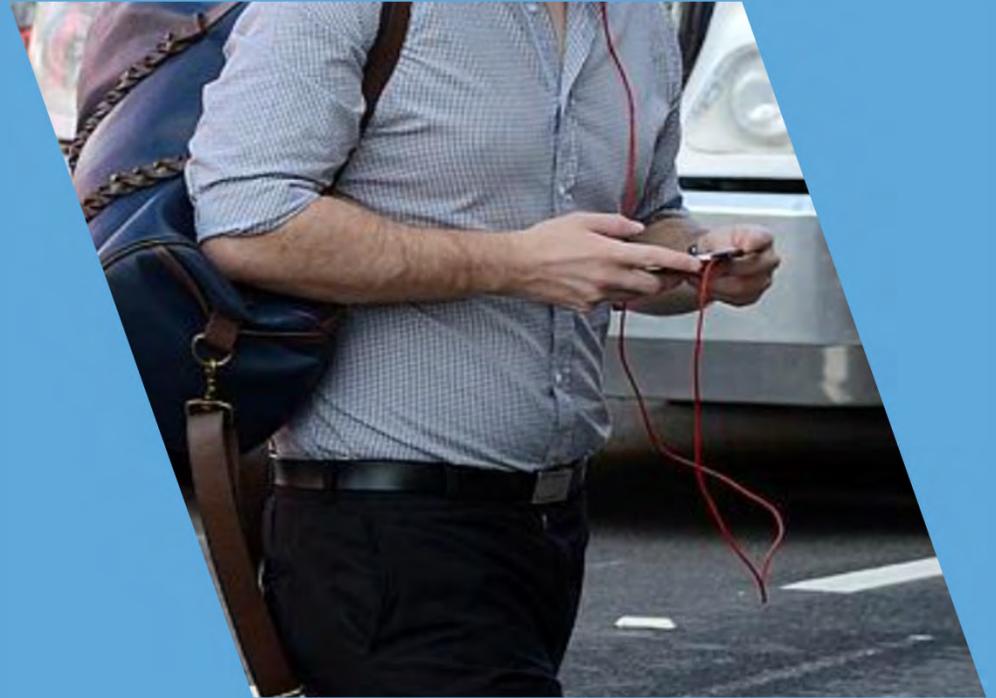
SELECTPLANT.COM.AU

All materials contained in this presentation are copyright of Select



Vulnerable Road User (VRU) Training

LEARNING



What Is Online Learning ?

The delivery of educational content and training through digital devices like computers, tablets, and smartphones allowing individuals to learn anywhere, anytime.

The features in our learning material:

- **Accessibility:** Users can access educational materials from almost any location with an internet connection.
- **Flexibility:** Learners can study at their own pace, pause lessons, and schedule learning around their own time constraints.
- **Diverse formats:** E-learning uses a variety of formats, including videos, quizzes, interactive exercises, to deliver information.



Online eLearning Programs

- **Online VRU Training**

- **Bronze**

- **Silver**

- **Gold**

- **Other E-Learning Packages**

- **Auditor Training**
 - **Organisations going for Accreditation**





Online Learning

Instructional Methods

We have provided online resources and instructional material to assist organisations intending to apply for CLOCS-A accreditation.

Templates



Templates are provided for organisations that may not have the systems or processes in place that provide for this requirement.

References



References are provided back to the Standard when one applies for accreditation so that you can review and determine the context behind the requirement.

Professional approach and assistance provided from our Auditors



Glyn Castanelli

CLOCS-A Auditor (VIC Based)

Glyn is one of our Auditors that has many years experience within the industry and at one time drove his own transport vehicle. Glyn has worked on the Easternlink project in Victoria and is very familiar with construction transport.



Urszula Kelly

CLOCS-A Auditor

Urszula is one of CLOCS-A Auditors that also have a depth of knowledge of the Transport Industry. Urszula is also a NHVAS Auditor and provides training in CoR requirements.

Why Online Learning?

Many people find online learning convenient and easily fits in with their day-to-day duties. Once you have completed the lessons you have a short quiz. If you pass all the short quizzes, you are likely to pass this final assessment. You cannot just jump to the final assessment and expect that you have completed the course. The system will not allow students to jump ahead. Remember this is training for your benefit.

01

Courses Lesson

Sit through the lesson, watch the videos and absorb the information.

02

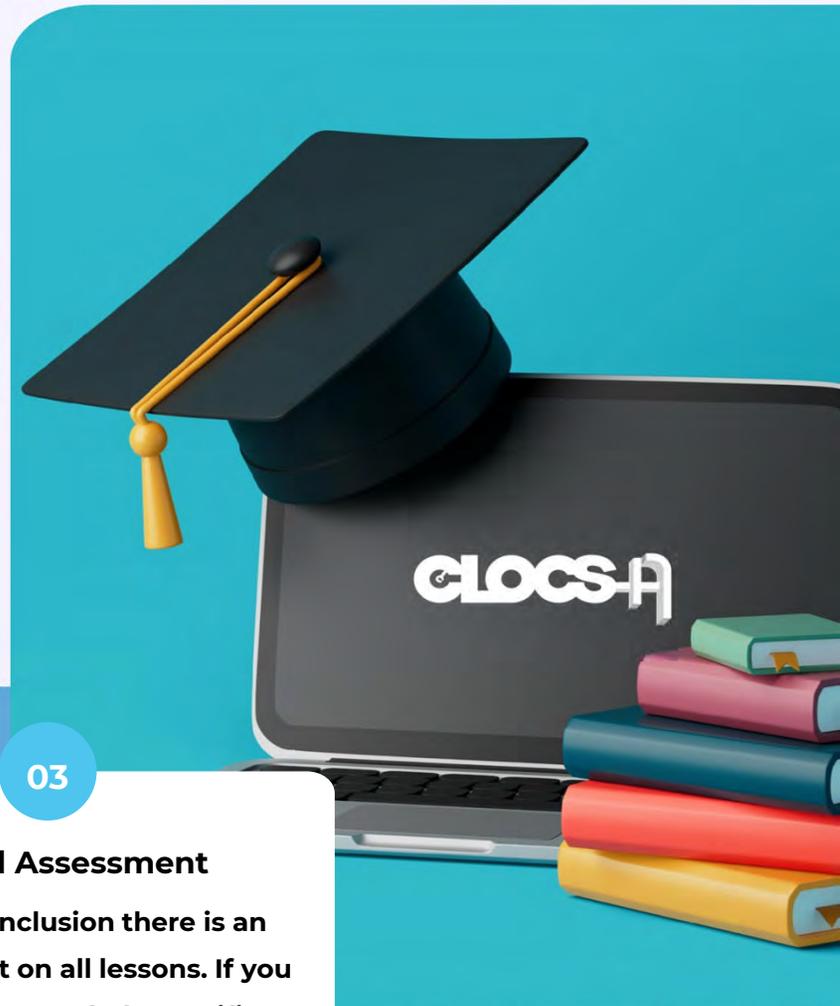
Lesson Quiz

Once you have been through the short lesson you will have a short quiz to complete.

03

Final Assessment

At the conclusion there is an assessment on all lessons. If you pass you are awarded a certificate.



Advantages Of Online Learning



Connection

For convenience, you can connect to the lesson via a PC, iPad or Smartphone. However, it is recommended that you use a PC as the font can be very small when using an iPhone.



Open Scheduling

If you get interrupted and called away from the lesson, the system automatically saves your work, and you can pick up the lesson from this point next time you log in.



Teaching Options

The lessons have been designed to retain focus by mixing videos and other learning material with text. Thus, holding your focus for the instructional material.



Topics Covered in VRU training

1. Pedestrians
2. Cyclists
3. Motorcyclists
4. Users of e-scooters
5. Individuals in wheelchairs
6. Road Construction Workers
7. Traffic Management Crews

Where to Find VRU Bronze Training Course

Visit CLOCS-A website: www.clocs-a.org.au

- 789 Enrolments to date
- 389 Students have completed the course
- 364 are in progress
- 36 Students have registered but not commenced the course

✓ infor@clocs-a.org.au ✓ www.clocs-a.org.au

✓ 1300 68 11 34





THANK YOU

 1300 68 11 34

 info@clocs-a.org.au

 www.clocs-a.org.au



CLOCS-A Application and the Auditors

Presenter:

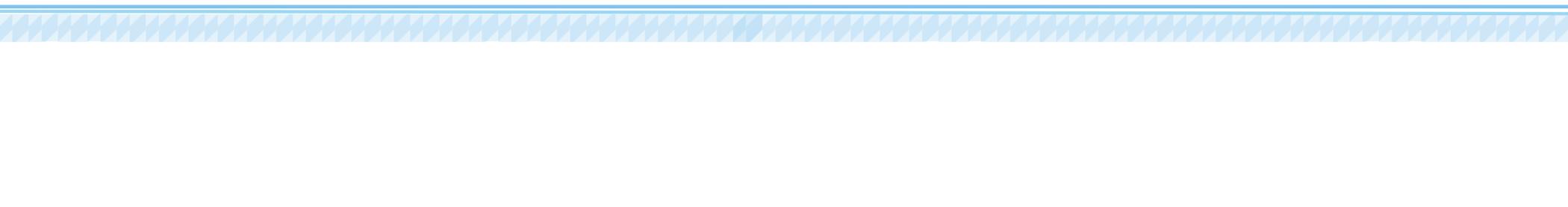
Karyn Welsh

CLOCS-A

8 December 2025

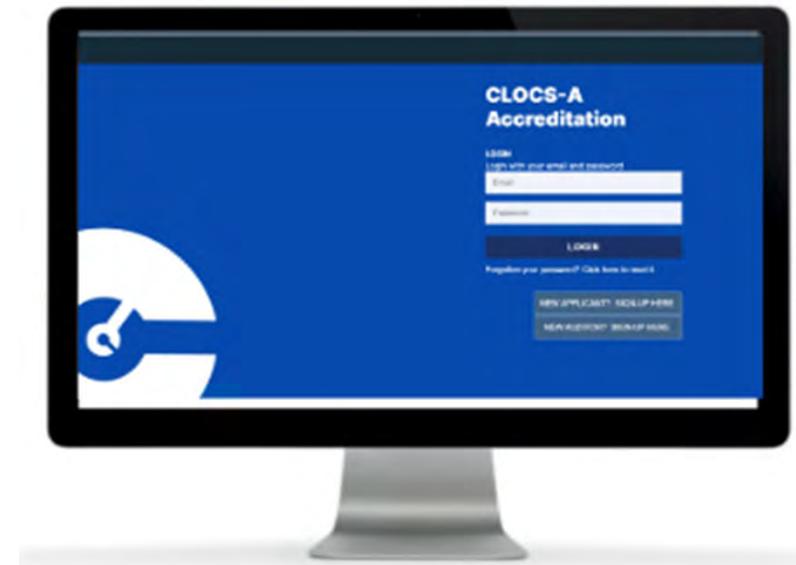


Presentation will cover:

- Invitation to Audit
 - Reviewing the Application
 - Assessing supporting documentation
 - Reviewing for Compliance
 - Issuing Non-Compliance if needed
 - Contacting the Applicant and introducing yourself
 - Conducting the Audit - Templates provided
 - Reference material available
 - Submitting the assessment
- 

Introduction

- The CLOCS-A Accreditation system is simple and easy to use
- All templates are built within the system for you
- Resources are at your fingertips for use when conducting and Audit
- Client relationship is important to CLOCS-A be prompt, be professional
- Fees are set by CLOCS-A - prices are on our website



Auditor Specific

- Auditors are appointed by CLOCS-A
- Auditors cannot be CLOCS-A consultants to the Applicant and be Auditing the Applicant- COI issues
- Report writing fees are built into the Audit fee paid - not in addition to the Audit fee
- CLOCS-A System is Automated - it populates uses your comments into a report format
- Auditors record the amount of site visits made to the client in the system and fee is paid on site visits.



Tools

- It is strongly recommended that PC, Tablets are used or smart phones are used when visiting the Applicant
- Details and comments captured electronically are feeding to your report
- You are able to print the client responses out before attending the site and use as a checklist



CLOCS-A Self-Assessment Summary for Happy Snappy Enterprises Inc. - Skyline Builders - Construction & Transport

Summary of questions and responses

Ref No.	Questions	Client Self-Assessment	Client Comment
Additional Days			
	Additional Days		
RISK ASSESSMENT (CLOCS-A Standard 5.3.1)			
	<i>Principal Contractors shall undertake risk assessments throughout the life of the construction project to identify and assess the project's risks to public road users as a result of generating construction heavy vehicle movements to/ from its construction sites.</i>		
1.1.	Who prepared this risk assessment? Name Title/Department	Jack Brown / Supervisor	Jack Brown / Supervisor
	Auditor	Yes	Jack Brown / Supervisor - correct As per evidences submitted
1.2.	Can the principal contractor demonstrate what type of risks assessments have been carried out? Note: CLOCS-A Project Risk Rating Tool may be used by organisations to assess the level of risk. Please provide details of the assessments and upload evidence.	Yes	1.2. Can the principal contractor demonstrate what type of risks assessments have been carried out? - Yes

Other Resources Available



- Become Familiar with these Report tools made available on the system for you.

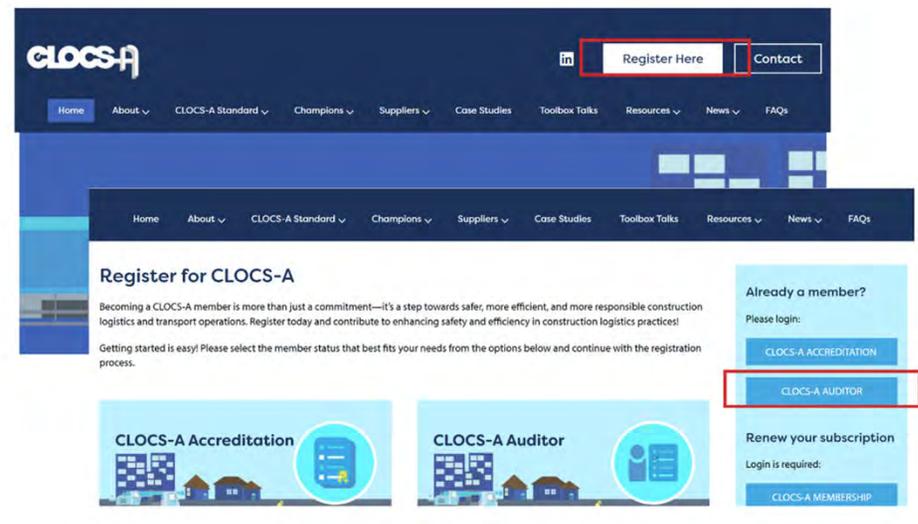
Accreditation Applications Received

- CLOCS-A receives a notification from the system that an Application has been submitted
- The Administrative team looks over the submission to see that the Applicant has completed the application correctly
- This process avoids unnecessary cost to the Applicant
- If the Application is in order it is referred to the Audit Committee with recommendation on what Auditors are in the general area
- If no Auditors are in the general Area, then no recommendation is submitted
- The Audit Committee will assign the Auditor to the Applicant



Invitation to Audit

- Registered Auditors are advised to periodically login and check your profile
- Invitation to conduct an Audit is send to your profile
- To login to your profile simply click the Register Here area
- The next screen lists options for login
- Click the CLOCS-A Auditor button to login

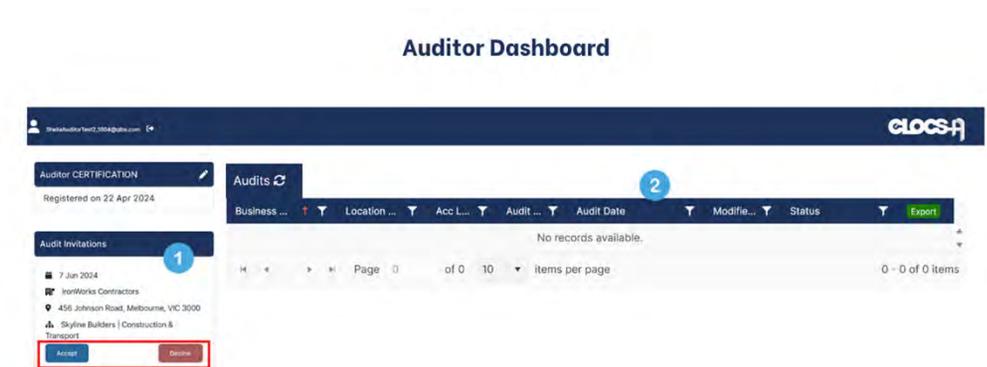


Auditor Dashboard

1. Audit Invitations: This section displays current invitations, showing the organisation details and providing options to Accept or Decline.

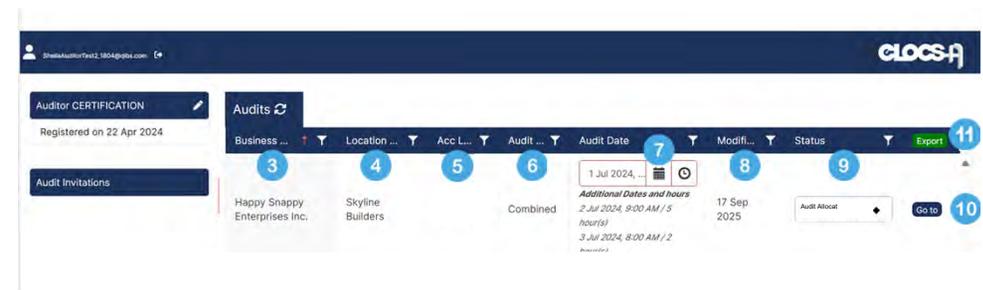
2. Audits: This section will display audits you have accepted at different stages of completion.

The Auditor can choose to accept or Decline the invitation



Audit Accepted

- Dashboard changes
- More details become available to the Auditor accepting the Audit.
- It is designed to protect the privacy of the Applicant and will not allow other Auditors to read Applicant details.
- The “Status” area will show Auditor Assigned and the Applicant receives an email.
- The Auditor allocated is now named on the Applicant Profile



Audit Information

3. Organisation Name

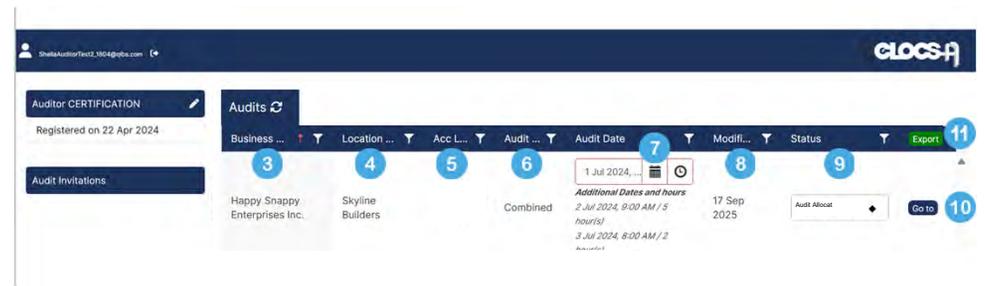
4. Location: Shows Site/ Depot location

5. Accreditation Level: Shows the accreditation level the organisation has applied for (Bronze, Silver, Gold)

6. Audit Type: Shows the accreditation type the organisation has applied for (Construction, Transport, Combined)

7. Audit Date: Allows the auditor to schedule a visit by selecting a date and time. Multiple visits can be scheduled if required. Once the audit is conducted, this field displays the dates and times of all completed visits.

8. Modification Date: Shows the date of any updates within the system



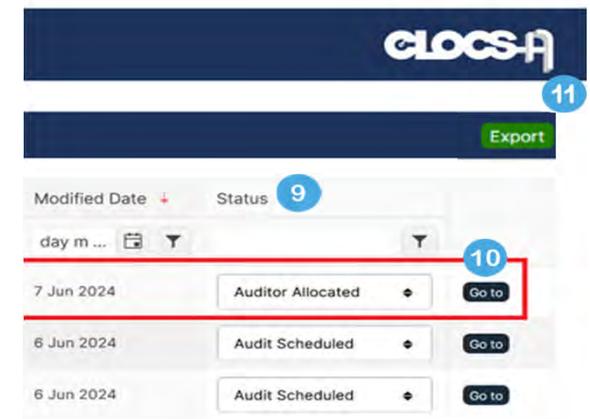
Audit Information

9. Status: Shows the current stage of the audit. The auditor can update the status to:

- **Audit Scheduled:** Allows the auditor to select and schedule the date and time for an audit.
- **Action Rqd-Online Review:** If further action is needed
- **Action Rqd-Onsite Revisit:** If further action is needed
- **Review in Progress:** When the auditor is reviewing corrective actions
- **Accredited Bronze:** If the audit meets the bronze accreditation criteria
- **Accredited Silver:** If the audit meets the silver accreditation criteria
- **Accredited Gold:** If the audit meets the gold accreditation criteria

10. Go to button: Opens the detailed application page

11. Export button: Generates an Excel file containing all audits



Important Information

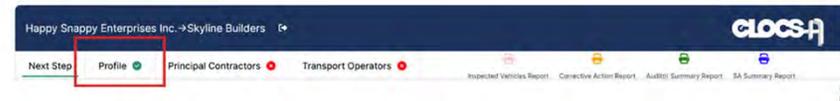
Note: As the designated Auditor, you now have access to view the Application submitted by the company.

Only you and the administration team can access this information. No other Auditors will be able to see these details.

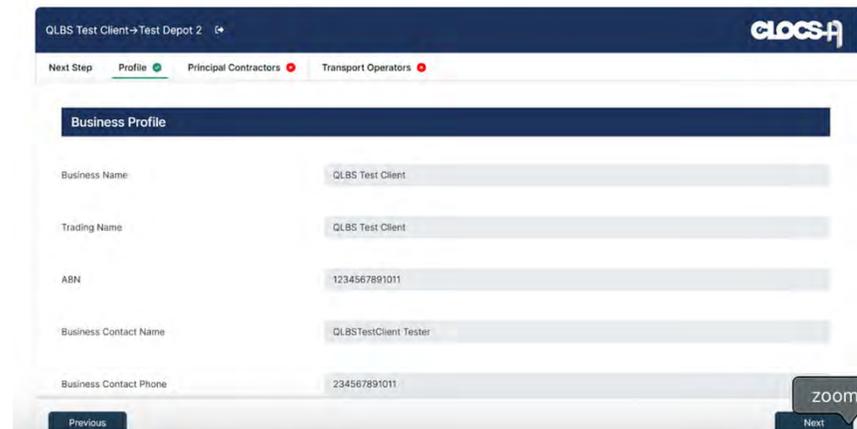
Review The Applicant's Submission

- You have now accepted the Audit
- As the next step you would view the Profile
- The organisation details
- The number of sites you are assessing

- Click the **Profile** tab



- Review the organisation details, sites and depots.
- Locate the list of nominated vehicles and their specifications at the bottom of the profile tab.

A screenshot of the CLOCS-A application interface showing the 'Business Profile' form. The form is titled 'Business Profile' and contains the following fields:

Business Name	QLBS Test Client
Trading Name	QLBS Test Client
ABN	1234567891011
Business Contact Name	QLBStestClient Tester
Business Contact Phone	234567891011

At the bottom of the form, there are 'Previous' and 'Next' buttons. A 'zoom' button is also visible in the bottom right corner.

Vehicle Details

- This area provides details of the vehicle

The screenshot displays the CLOCS-A interface for vehicle details. At the top, there are navigation tabs: Next Step, Profile, Principal Contractors, and Transport Operators. Below these, the Assigned Auditor is listed as SheilaAuditorTest2.1804@qibs.com, and the Audit status is Auditor Allocated. The main section is a table with the following data:

Registration No.	VinNumber	State Registered	Truck Make	Emissions Standard	Level applying for	Audited
VIC 87654	5NPET46C37H543210	VIC	Hyundai	Bad Breath	Silver	<input checked="" type="checkbox"/>
VIC 87656	1G6KD57Y27U543210	VIC	Cadillac	Rotten Food	Silver	<input checked="" type="checkbox"/>
VIC 09878	1G6KD57Y27U987654	VIC	Cadillac	Wet Dog	Silver	<input checked="" type="checkbox"/>
VIC 09877	JH4DC54823C987654	VIC	Honda	Bad Breath	Silver	<input checked="" type="checkbox"/>
VIC 09876	5NPET46C37H987654	VIC	Hyundai	Sewers on a hot day	Silver	<input type="checkbox"/>
VIC 67890	2HKRL1864YH123456	VIC	Toyota	Bad Breath	Silver	<input type="checkbox"/>
VIC 87655	JH4DC54823C543210	VIC	Honda	Wet Dog	Silver	<input type="checkbox"/>

At the bottom of the page, there are 'Previous' and 'Next' buttons. A red box highlights the 'Next' button.

- This area provides details of the vehicles already audited. This would be ticked if they have been accredited and are up for a review or renewal

Vehicle Profile

- When a vehicle is marked as "Audited" the date and level of accreditation are displayed.

The screenshot shows a mobile application interface for a vehicle profile. The form is titled "Vehicle Profile" and contains the following fields:

- Registration No.: BIN820
- VinNumber: JALFYH77TL7000067
- State Registered: New South Wales
- Truck Make: Isuzu
- Emissions Standard: Class V
- Level applying for: Bronze
- Date Added: 23/06/2025
- Audited:

The "Audited" checkbox is checked and highlighted with a red box. Red arrows point from the text in the list to the "Audited" checkbox, the "Date Added" field, and the "Level applying for" field.

Pages within the Application

- The “Next” button at the bottom of the screen is used to take you to pages within the application



The Application Submission details

- You are now ready to look at the submission
- Notice at the top: Red Boxed Area
- Principle Contractor (used when it is a Construction submission) and
- Transport Operator (used when it is a transport operator)

Happy Snappy Enterprises Inc. → Skyline Builders

CLOCS-A

Next Step Profile **Principal Contractors** **Transport Operators**

Inspected Vehicles Report Corrective Action Report Auditor Summary Report SA Summary Report

1. RISK ASSESSMENT (CLOCS-A Standard 5.3.1)

Principal Contractors shall undertake risk assessments throughout the life of the construction project to identify and assess the project's risks to public road users as a result of generating construction heavy vehicle movements to/ from its construction sites.

Who prepared this risk assessment?
Name: QLBSClientEmailNotificationTest1609
Title/Department:

1.2. Can the principal contractor demonstrate what type of risks assessments have been carried out?
Note: [CLOCS-A Project Risk Rating Tool](#) may be used by organisations to assess the level of risk.
Please provide details of the assessments and upload evidence.

Yes In Progress No

Comments: Risk assessment carried out in the construction site.

[Browse](#) or drop files here
[Project Risk Rating.xls](#)

1.3. Do you have a plan for communicating the findings and recommendations of this risk assessment to project stakeholders?
Please upload evidence of the plan and of the communication.

Yes In Progress No

Comments: Communication plan in placed.

[Browse](#) or drop files here
[Communication Plan.docx](#)

1.3a. Is the workforce consulted on the identified risks?

Yes In Progress No

Comments: Biweekly meetings are carried out to consult risks with the workforce.

[Browse](#) or drop files here
[Risk Communication.docx](#)

Previous Show Incomplete Save Changes Submit Next

Auditor review

- The questions in the Audit relate directly to the CLOCS-A Standard.
- Auditor aligns the response given to that asked for within the CLOCS-A Standard
- It is as simple as “Yes” “No” or “In Progress”
- All responses where appropriate will have a comment on why they are responding that way and provide supporting documentation as demonstration of their response.

Happy Snappy Enterprises Inc. -> Skyline Builders

Next Step Profile **Principal Contractors** **Transport Operators**

Inspected Vehicles Report Corrective Action Report Auditor Summary Report SA Summary Report

1. RISK ASSESSMENT (CLOCS-A Standard 5.3.1)

Principal Contractors shall undertake risk assessments throughout the life of the construction project to identify and assess the project's risks to public road users as a result of generating construction heavy vehicle movements to/ from its construction sites.

Who prepared this risk assessment?
Name: QLBSClientEmailNotificationTest1609
Title/Department:

1.2. Can the principal contractor demonstrate what type of risks assessments have been carried out?
Note: CLOCS-A Project Risk Rating Tool may be used by organisations to assess the level of risk.
Please provide details of the assessments and upload evidence.

Yes In Progress No

Comments: Risk assessment carried out in the construction site.

[Browse or drop files here](#)
[Project Risk Rating.xls](#)

1.3. Do you have a plan for communicating the findings and recommendations of this risk assessment to project stakeholders?
Please upload evidence of the plan and of the communication.

Yes In Progress No

Comments: Communication plan in place.

[Browse or drop files here](#)
[Communication Plan.docx](#)

1.3a. Is the workforce consulted on the identified risks?
Please upload evidence of the consultation.

Yes In Progress No

Comments: Biweekly meetings are carried out to consult risks with the workforce.

[Browse or drop files here](#)
[Risk Communication.docx](#)

[Previous](#) [Show Incomplete](#) [Save Changes](#) [Submit](#) [Next](#)

Auditor Response

- The Auditor cannot change a response made by the Applicant
- The Auditor responds by accepting the response or not.

The screenshot displays the CLOCS-A system interface. At the top, the 'Next Step' is 'Transport Operators'. The main section is titled '5.4.3 Driver Licence Verification:'. Under 'Do you:', there is a question: '5.4.3a. Have a check in place so that driver hold the appropriate licence for the heavy vehicle he/she driving?'. The 'Yes' button is highlighted in green, indicating the Auditor's response. To the right, there are 'Comments' and 'Comments/Corrective Action' text areas, both containing text about induction checks and licence requirements. There are also 'Browse or drop files here' buttons and a link to 'CoR MS Transport Safety MS.pdf'.

Next Step Profile Transport Operators

5.4.3 Driver Licence Verification:

Do you:

5.4.3a. Have a check in place so that driver hold the appropriate licence for the heavy vehicle he/she driving?

Yes In Progress No

Comments

Check completed at induction and then declared for validity before each shift as part of pre-start. Driver is locked out of system if details are not updated by allocations team. See CoR MS Transport Safety MS doc.

Browse or drop files here

CoR MS Transport Safety MS.pdf

Auditor

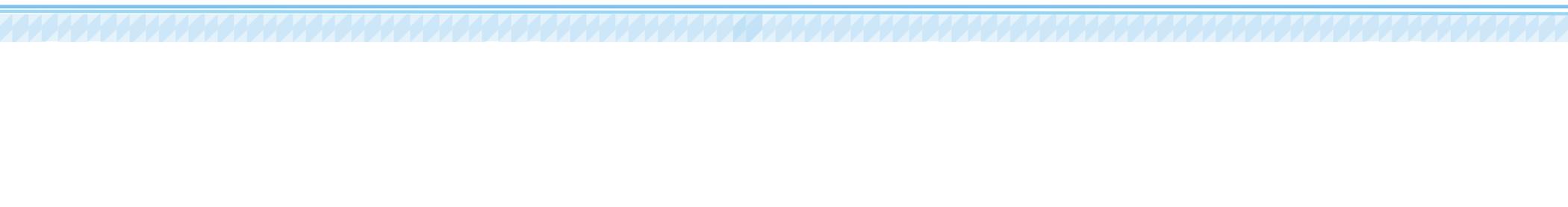
Yes No Clear

Comments/Corrective Action

Section 9.2.5 Licenses and Competency Requirements & 9.2.6 Heavy Vehicle Driver Licencing set out requirements for obtaining and managing licences.

Browse or drop files here

Non-Conformance

- Every “No” response from the Auditor generates a Non-conformance report.
 - The non-conformance needs to be actioned to the satisfaction of the Auditor.
 - A response is now required from the Applicant on the “Non-Conformance” question raised by the Auditor.
 - If not actioned, the application cannot be completed and awarded to an Accreditation standard
 - Once a “non-conformance” item is actioned, and the Auditor is satisfied, then he will mark it as a “Yes” meaning now they do comply to the requirements.
- 

Non-Conformance Example:

- This question was a no due to fresnel lenses were not fitted to vehicles and the initial response did not meet the satisfaction of the Auditor

Next Step Profile Transport Operators

Inspected Vehicles Report Corrective Action Report Auditor Summary Report SA Summary Report

Cabin_skip.2.jpg
Cabin_skip.jpg

Comments/Corrective Action
Class V (Passenger side) and VI (front) blind spot mirrors sighted on all vehicles inspected.

Browse or drop files here

Comments
We trialed these extensively 4-5 years ago (over 100 trucks) with the NRSPP/Jerome Carslake and our drivers found that their view was hindered and they were not beneficial. We did not proceed with installing them across the fleet as a result of this trial. The class V

Browse or drop files here

Comments/Corrective Action
Trail conducted with 100 vehicles, NRSPP partnered but not suitable for business. Majority of drivers found no benefit, and Bingo have informed they will not be installing these due to the consultation with drivers.

Browse or drop files here

App E 9. Fresnel lens fitted to the passenger side window or peeper window?

Yes In Progress No

Auditor

Yes No Clear

Non-Conformance resolved

- The applicant submitted a response back against the non-conformance and in this instance, it was accepted by the Auditor.
- Auditors cannot submit an application as completed until all non-conformance questions have a response and it has been accepted by the Auditor.

The screenshot displays the CLOS-A application interface. At the top, the 'CLOS-A' logo is visible. Below the logo, there are navigation tabs: 'Next Step', 'Profile', and 'Transport Operators'. The 'Transport Operators' tab is active. The main content area shows a question: 'App E 9. Fresnel lens fitted to the passenger side window or peeper window?'. Below the question, there are three buttons: 'Yes', 'In Progress', and 'No'. The 'No' button is selected. To the right of the question, there is a text box containing the following text: 'We trialed these extensively 4-5 years ago (over 100 trucks) with the NRSPP/Jerome Carlsdale and our drivers found that their view was hindered and they were not beneficial. We did not proceed with installing them across the fleet as a result of this trial. The class V'. Below the text box, there is a 'Browse or drop files here' button. Below the question and text box, there is a section for 'Comments/Corrective Action'. It contains a text box with the following text: 'Trail conducted with 100 vehicles, NRSPP partnered but not suitable for business. Majority of drivers found no benefit, and Bingo have informed they will not be installing these due to the consultation with drivers.' Below the text box, there is a 'Browse or drop files here' button. Below the 'Comments/Corrective Action' section, there is a section for 'Explanation'. It contains a text box with the following text: 'Please enter some notes...'. Below the text box, there is a 'Browse or drop files here' button. Below the 'Explanation' section, there is a section for 'Comments'. It contains a text box with the following text: 'Please enter some notes...'. Below the text box, there is a 'Browse or drop files here' button. At the bottom of the form, there are two buttons: 'Accept' and 'Decline'. The 'Accept' button is selected.

Corrective Action:

Business Name	Location Name	Acc Level	Audit Type	Audit Date	Modified Date	Status	Export
QLBSCient Demo2309 Company YEAR1	Renovate R Us	Accredited Bronze	Transport	24 Sep 2025, 10:00 AM <i>Additional Dates and hours</i> 25 Sep 2025, 11:00 AM / 2 hours hour(s)	24 Sep 2025	Certification Issued	Go to
QLBSCient Demo2309 Company YEAR2	Renovate R Us		Transport	24 Sep 2025, 10:00 AM	23 Sep 2025	Corrective Action Done	Go to

Page 1 of 10 items per page

1 - 2 of 2 items

- Self-Assess Pending
- Self-Assess Ready
- Self-Assess In Progress
- Self-Assess Submitted
- Online Review Paid Online
- Online Review Payment Pending
- Online Review Paid Invoice
- Allocation Pending
- Auditor Rejected
- Auditor Allocated
- Audit Scheduled
- Action Rqd-Online Review
- Corrective Action Done
- Review in Progress**
- Accredited Bronze
- Accredited Silver
- Accredited Gold
- Certification Issued

- When a client addresses and submit the Corrective Action Plan the Auditor receives a notification email
- The auditor now updates the status of the audit to “Review in Progress”

Contacting the Applicant:

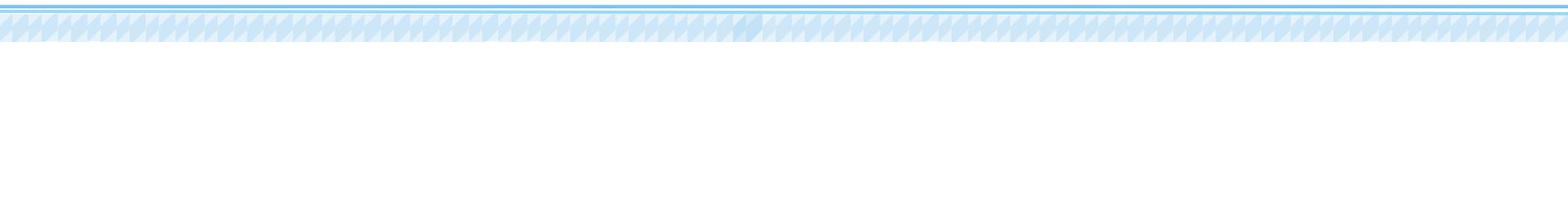
- Contacting the Applicant and introducing yourself
- Travel costs discussed with the Applicant
- Setting the 1. Audit date and 2. changing the Status to Audit Scheduled

The screenshot displays the CLOCS-A system interface. On the left, there are navigation tabs for 'Auditor CERTIFICATION' and 'Audit Invitations'. The main area shows a table of audits with columns for Business Name, Location Name, Acc. Level, Audit Type, Audit Date, Modified Date, and Status. Two rows are visible, both for 'QLESClient Demo2309'. The first row is for 'YEAR1' with an audit date of '24 Sep 2025, 10:00 AM' and a status of 'Certification issued'. The second row is for 'YEAR2' with an audit date of '24 Sep 2025, 10:00 AM' and a status of 'Auditor Allocated'. A red box labeled '1' highlights the audit date field in the second row. A blue arrow points from the text 'Setting the 1. Audit date and 2. changing the Status to Audit Scheduled' to this field. Another blue arrow points from the same text to a dropdown menu on the right side of the interface. This menu lists various status options, and 'Audit Scheduled' is highlighted with a red box labeled '2'.

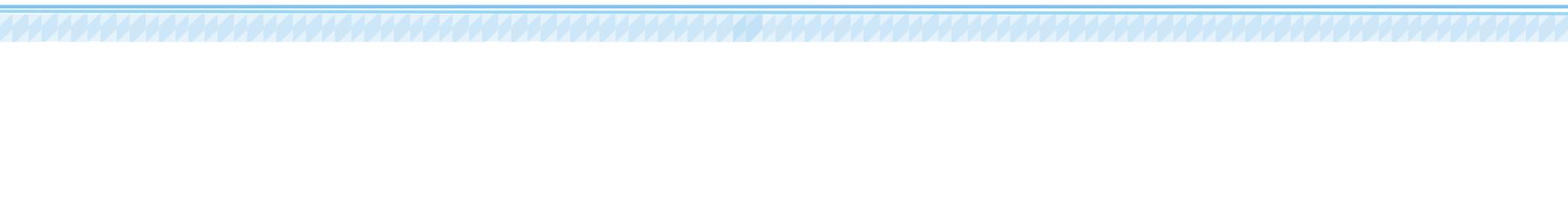
Business Name	Location Name	Acc. Level	Audit Type	Audit Date	Modified Date	Status
QLESClient Demo2309 Company YEAR1	Reinosa R Us	Accredited Bronze	Transport	24 Sep 2025, 10:00 AM <small>Additional Date and Hours 29 Sep 2025, 10:00 AM / 2 hours (total)</small>	24 Sep 2025	Certification issued
QLESClient Demo2309 Company YEAR2	Reinosa R Us		Transport	24 Sep 2025, 10:00 AM	23 Sep 2025	Auditor Allocated

- Self-Assess Pending
- Self-Assess Ready
- Self-Assess In Progress
- Self-Assess Submitted
- Online Review Paid Online
- Online Review Payment Pending
- Online Review Paid Invoice
- Allocation Pending
- Auditor Rejected
- Auditor Allocated
- Audit Scheduled**
- Action: Add Website Review
- Review In Progress Done
- Accredited Bronze
- Accredited Silver
- Accredited Gold
- Certification Issued

Important Information

- Change Status to “Audit Scheduled”: the auditor must change the application status to Audit Scheduled.
 - This step is essential, as it triggers the system to notify the client and allows the application to progress to the next stage.
 - Failure to update the status will prevent the process from continuing.
 - If a change in date is necessary, there is an option to do so, prompting a new email to be sent to the client.
- 

Additional Visits

- There are times where the Audit requires more than a day to complete
 - This maybe due to the number of vehicles to be assessed or the availability of the vehicles listed on the Application
 - A site may not be prepared for the Audit
 - There may be an emergency on site, and the Audit needs to cease (i.e., Gas leak, flooding, incident that involved an injury etc.)
 - This also means a second day is required. This is invoiced separately to the client by CLOCS-A.
 - If a change in date is necessary, there is an option to do so, prompting a new email to be sent to the client.
 - Auditor invoice to CLOCS-A reflects the daily rate or part thereof as applied to the Audit
- 

Additional Time in the system

- A new row will appear

Additional Days

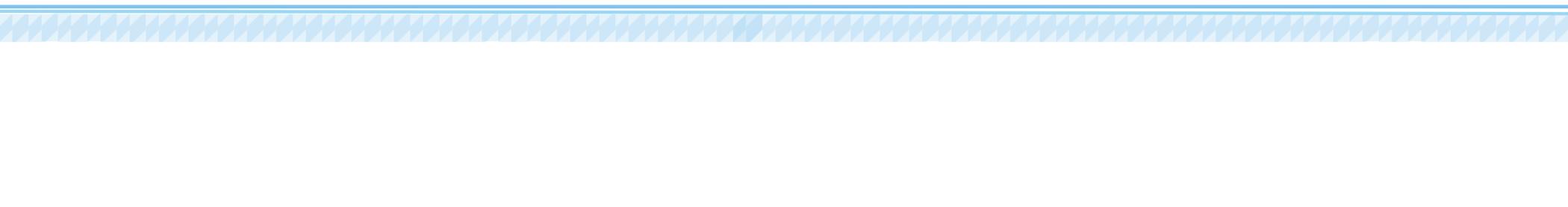
Date	Hours	Description	
04/Sep/2025 11:00 AM	5	Day2 - Inspected 3 additional Vehicles	- +
	Hours	Description	- +

- Additional days appear on the dashboard

Additional Days

Date	Hours	Description	
04/Sep/2025 11:00 AM	5	Day2 - Inspected 3 additional Vehicles	- +
05/Sep/2025 1:00 PM	2	Day3 - Inspected 4 vehicles	- +

Preparing for the Site Visit

- At this point, you have likely reviewed the Application and made some personal notes.
 - CLOCS-A has supplied templates to aid auditors in their pre-audit preparations and during onsite assessments of the Applicant and their vehicles (if applicable). These templates can be downloaded for use by the Auditor and uploaded into the system upon completion of the visit.
 - To access these templates, simply click the "Go to" button for the assessed client on the dashboard.
- 

Preparing for the Site Visit

The screenshot shows the CLOCSA software interface. At the top, there is a dark blue header with the CLOCSA logo on the right and user information on the left. Below the header, there are two main sections: 'Auditor CERTIFICATION' and 'Audit Invitations'. The 'Auditor CERTIFICATION' section shows 'Registered on 22 Apr 2024'. The 'Audit Invitations' section is currently empty. The main area displays a table of audits with the following columns: Business, Location, Acc L..., Audit, Audit Date, Modifi..., and Status. A red box highlights the 'Go to' button in the 'Audit Date' column. The table contains one row of data:

Business ...	Location ...	Acc L...	Audit ...	Audit Date	Modifi...	Status	Export
Happy Snappy Enterprises Inc.	Skyline Builders		Combined	1 Jul 2024, ... <i>Additional Dates and hours</i> 2 Jul 2024, 9:00 AM / 5 hour(s) 3 Jul 2024, 8:00 AM / 2 hour(s)		17 Sep 2025	Go to

- Access and download the templates/reports from the top of your screen, as illustrated in the diagram below:

Preparing for the Site Visit



Inspected Vehicles Report: This report includes a list of vehicles nominated by the company.



Corrective Action Report: This document outlines further actions that the client needs to address.



Auditor Summary Report: This report includes feedback from the auditor on each response along with the client responses and links to uploaded documentation.



SA Summary Report: This report features the client responses to each question along with the links to uploaded documentation.

Note: The Inspected Vehicle and SA Summary Reports are accessible once the client submits their application. Similarly, the Corrective Actions and Auditor Summary Reports will only be available after the auditor has included their feedback and saved changes.

Audit Outcome

Once the non-conformances have been reviewed, the Auditor determines whether to accept or decline the corrective actions undertaken by the client.

If the auditor finds the actions **satisfactory**:

- The auditor will mark it as **Accept** and provide feedback,
- Please remember to Click on **Save Changes** (please note that the submit button is not enabled at this point)

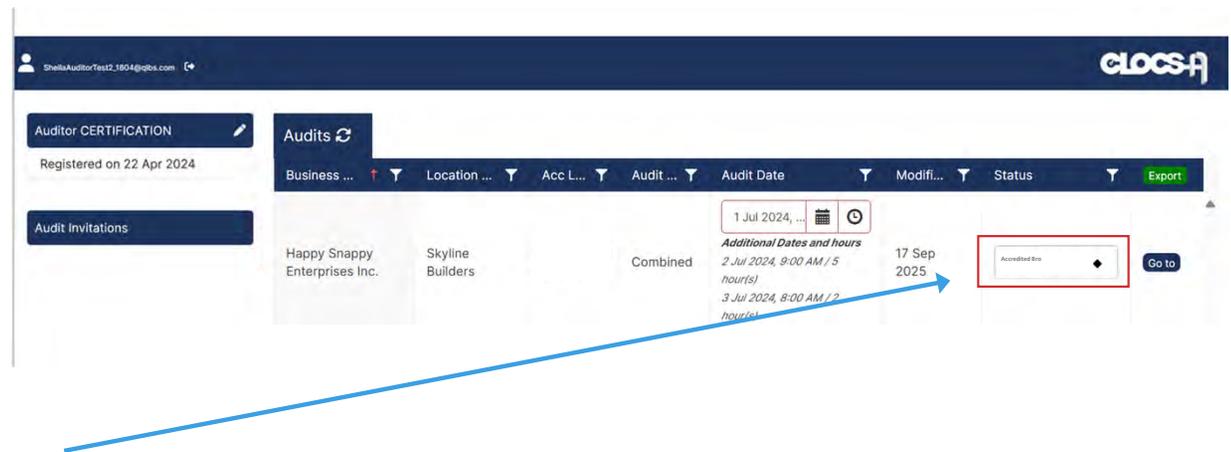
The screenshot displays the GLOCSA audit system interface for reviewing corrective actions. The top navigation bar shows 'IronWorks Contractors -> Skyline Builders' and the GLOCSA logo. The main content area is divided into several sections:

- 1.2. Can the principal contractor demonstrate what type of risks assessments have been carried out?** This section includes a status dropdown menu (Yes, In Progress, No) and a 'Comments' field with a 'Browse or drop files here' button and a link to 'Evidence required.pdf'.
- Auditor** This section includes a status dropdown menu (Yes, No, Clear) and a 'Comments/Corrective Action' field with a 'Browse or drop files here' button and a link to 'Red-Kitten-01.jpg'.
- Action taken** This section includes an 'Explanation' field with the text 'Corrective actions done. Please see file attached' and a 'Browse or drop files here' button with a link to 'Evidence required.pdf'.
- Accepted** This section is highlighted with a red box and includes an 'Accept' button (highlighted in green), a 'Decline' button, and a 'Clear' button. It also has a 'Comments' field with the text 'Sufficient evidence provided.' and a 'Browse or drop files here' button.

At the bottom of the form, there is a section for '1.3. Do you have a plan for communicating the findings and' with a 'Comments' field and a 'Submit' button. Navigation buttons include 'Previous', 'Show Incomplete', 'Save Changes', and 'Next'.

Audit Outcome

- The auditor changes the **status** accordingly in the dashboard. If **further actions are not required**, he may now select:
 - **Accredited Bronze:** If the organisation meets the bronze accreditation criteria.
 - **Accredited Silver:** If the organisation meets the silver accreditation criteria.
 - **Accredited Gold:** If the organisation meets the gold accreditation criteria.



Audit Outcome

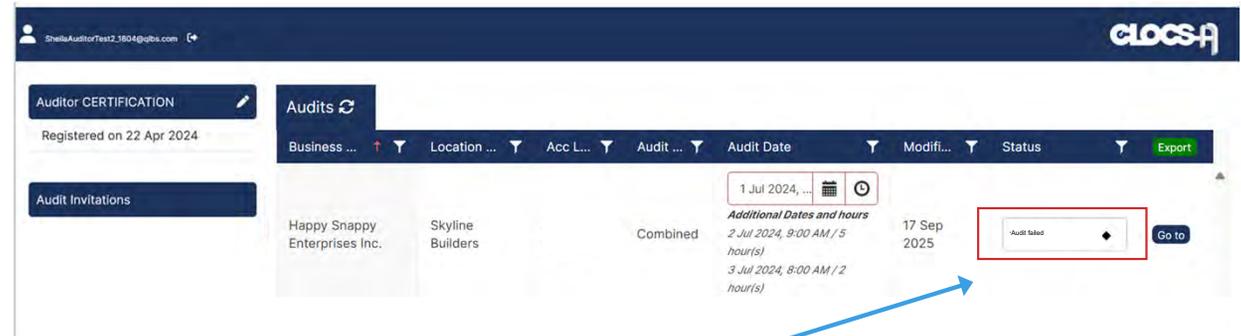
If the auditor finds the actions **unsatisfactory** or determine that **further action is required**:

- Mark the response as **Declined** and provide feedback to the client.
- Click **Save Changes** (note: the Submit button is not enabled at this stage).
- Update the audit status in the dashboard to one of the following:
 - **Action Rqd – Online Review**
 - **Action Rqd – Revisit**

The screenshot displays the GLOCS-A dashboard interface. On the left, there are sections for 'Auditor CERTIFICATION' (Registered on 22 Apr 2024) and 'Audit Invitations'. The main area is titled 'Audits' and features a table with columns: Business, Location, Acc L..., Audit, Audit Date, Modifi..., Status, and an 'Export' button. A table row is visible for 'Happy Snappy Enterprises Inc.' with 'Skyline Builders' as the location, 'Accredited Bronze' as the audit type, and 'Combined' as the audit date. Below this, there are 'Additional Dates and hours' listed: '2 Jul 2024, 9:00 AM / 5 hour(s)' and '3 Jul 2024, 8:00 AM / 2 hour(s)'. To the right of the table, there is a date selector for '1 Jul 2024, ...' and a 'Go to' button. A red box highlights the 'Audit Sched' dropdown menu, and a blue arrow points from the text 'Update the audit status in the dashboard to one of the following:' to this dropdown.

Audit Outcome

- An email is sent to the company outlining additional requirements. The company is then required to submit corrective actions for the auditor's review. This generates a cost for the company.
- If the company failed to address the corrective actions to the satisfaction of the auditor, he would update the status to **Audit Failed**.



Audit Outcome

The auditor completes the audit and saves the changes in the system, a new report is then automatically generated: the Auditor Summary Report.

The Auditor Summary report, along with the others reports, is designed to support auditors and streamline administrative tasks, ultimately saving valuable time.



Auditor Summary Report

- Click the green printer icon to download the report



CLOCS-A Auditor Summary for QLBS Test Client - Test Depot - Transport Prepared by Sheila AuditorTest0107, on 13/10/2025

Corrective Action Required

NC No	Question No	Questions	Auditor Comment
App E 1.		No solid, clear or coloured bug deflectors mounted on bonneted trucks?	Yes
App E 2.		No external engine air intakes above bonnet level (on bonneted trucks)?	Yes
App E 3.		No large after-market bullbars that rise above the standard overall bumper height for the particular vehicle?	Yes After-market bulbar removed.
App E 4.		No external sunvisors that protrude below the tinted band on the windscreen or the swept path of the wipers?	Yes

Audit Outcome

The next steps:

- Applicant receives an email and an invoice
 - Once payment is made Signage, decals and Certificates are ordered
 - Applicant is contacted regarding the issuing of CLOCS-A signage etc.
 - Applicant engages with Sub-contractors regarding the accreditation
 - Encourages Sub-Contractors to also become CLOCS-A accredited.
 - 12-month review is conducted online
 - 24 months before next site visit unless an incident occurs where a site visit is required.
- 



Thank you



CLOCS-A Safety Forum

Applications and the Auditor Visit

Presenter:

Glyn Castanelli

8th December 2025



Presentation will cover:

- Applying for an Accreditation
 - Preparing for the Audit visit
 - The Audit
 - The Types of Accreditation
 - What an organisation is issued?
 - The next Audit
- 

Introduction

Step 1 – Understand the Scope of the Audit (Read the Standard first)

- Identify audit type: Transport, Construction or a Combination Audit. (refer red boxes below)
- Clarify objectives: what auditors are evaluating (accuracy, compliance, efficiency).
- Review standards: applicable laws, regulations, or internal policies.
- All “Yes” responses require a comment and some supporting documentation to show that you are operating in alignment to the CLOCS-A Standard

Happy Snappy Enterprises Inc. → Skyline Builders

Next Step Profile **Principal Contractors** **Transport Operators**

1. RISK ASSESSMENT (CLOCS-A Standard 5.3.1)

Principal Contractors shall undertake risk assessments throughout the life of the construction project to identify and assess the project's risks to public road users as a result of generating construction heavy vehicle movements to/from its construction sites.

Who prepared this risk assessment?
Name: QLBSCientEmail@izationTest1808
Title/Department:

1.2. Can the principal contractor demonstrate what type of risks assessments have been carried out?
Note: CLOCS-A Risked Site Rating Tool may be used by organisations to assess the level of risk. Please provide details of the assessments and upload evidence.

Yes In Progress No

Comments: Risk assessment carried out in the construction site.
Browse or drop files here
Upload Risk Rating.xls

1.3. Do you have a plan for communicating the findings and recommendations of this risk assessment to project stakeholders? Please upload evidence of the plan and of the communication.

Yes In Progress No

Comments: Communication plan in place.
Browse or drop files here
Communication Plan.docx

1.3a. Is the workforce consulted on the identified risks?

Yes In Progress No

Comments: Biweekly meetings are carried out to consult risks with the workforce.
Browse or drop files here
Risk Communication.docx

Previous Show Incomplete Save Changes Submit Next

Organise your Documentation

- Review all records that you intend to provide on the vehicles
- Review responses to Audit Questions relating to the vehicles
- Assess responses against compliance to the Standard and the evidence you are providing
- Ensure all details provided align to the requirements
- The Auditor will tick each vehicle inspected against all these

Registration No.	VinNumber	State Registered	Truck Make	Emissions Standard	Level applying for	Date Added	Audited
 SJR011	6FMU15D71HD8	New South Wales	Mack	Euro 5	Bronze	undefined	<input type="checkbox"/>
 DIGR4U	6F500000JA4	New South Wales	Mack	Euro 5	Bronze	undefined	<input type="checkbox"/>
 SJR408	6F500000AA4	New South Wales	Kenworth	Euro 5	Bronze	undefined	<input type="checkbox"/>
 RE08AD	Trailer	New South Wales	Truck Make	Emissions Stand	Bronze	undefined	<input type="checkbox"/>
 RE02AD	Trailer	New South Wales	Truck Make	Emissions Stand	Bronze	undefined	<input type="checkbox"/>
 TIPR4U	6FMM12H69KDE	New South Wales	Mack	Euro 5	Bronze	undefined	<input type="checkbox"/> *
 SJR022	6F500000RA4	New South Wales	Kenworth	Euro 5	Bronze	undefined	<input checked="" type="checkbox"/>
 SJR409	6F500000FA4	New South Wales	Kenworth	Euro 5	Bronze	undefined	<input type="checkbox"/> *
 RE06AD	Trailer	New South Wales	Truck Make	Emissions Stand	Bronze	undefined	4
 SJR070	6F500000RA4	New South Wales	Kenworth	Euro 6	Bronze	undefined	<input checked="" type="checkbox"/>
 200SJR	6F500000JA4	New South Wales	Kenworth	Euro 5	Bronze	undefined	<input type="checkbox"/>

Internal Review

- Conduct online audit: check for gaps before the auditor makes contact to do a site visit.
- Verify reconciliations with the vehicles nominated for accreditation
- Check internal controls: approval workflows etc.
- ✱ Identify risks: areas prone to errors or non-compliance ensure things are in order
- Once your Audit date is set no more changes can be made to your submission.



Preparation for the Audit

- Contact your head office and let them know a date is set.
- Ensure the designate point persons for each audit area are prepared for the Audit visit.
- Driver Training: ensure VRU Training Records are included in the submission (Certificates and records are available online and are often validated by the Auditor, make sure your driver has not copied another's certificate)
- Communicate expectations: explain timelines and required cooperation.



Audit Readiness

- Ensure tablet accesses to the Audit: where a digital folder can be accessed with all key documents.
- There is a Pre-set workspace: provides auditors with access to records and systems that you have uploaded. He cannot change your submission. He is responding independently of your submission.
- Plan Q&A sessions before: to anticipate common inquiries.
- If it is a review Document any improvements: show proactive steps taken since last audit.

Bronze Level (Check that there are:)

App E 1. No solid, clear or coloured bug defectors mounted on bonneted trucks?

Yes In Progress No

Comments
please see attached

Comments/Corrective Action
Inspected vehicles comply with the Standards requirements. Vehicles include SJR022, SJR066, SJR070, SJR055, and SJR044.

* Auditor

Post Audit Responses

- The Auditor will Review his findings: Ensure organisations understand recommendations and any corrective actions (if any).
- Implemented changes: update processes, strengthen controls.
- Track progress since last visit: monitor compliance improvements.
- Prepare for next cycle: audits are recurring, so build readiness into routine.



CLOCS-A Corrective Actions for ABC Industries - Recycling Centre Eastern Creek - Transport

Prepared by John Smithg on 01/10/2025

Corrective Actions Required

NC No	Question No	Questions	Auditor Comment
1	App E 9.	Fresnel lens were not fitted to the passenger side window or peeper window?	You stated that Fresnel lenses were not installed on the passenger side window or peeper windows. What alternative measures have been implemented to address the absence of Fresnel lenses?

Audit Preparation Checklist - What the Auditor is doing

- Planning & Scope
 - Define audit type (compliance, operational).
 - Confirm objectives with the organisation that is applying (accuracy, compliance, efficiency).
 - Review applicable standards (laws, regulations, internal policies).
 - Set timeline for preparation and audit dates.
- 

Documentation & Records to have ready if asked

- Contracts & agreements: vendor, client, lease, partnership documents.
- Compliance evidence: licenses, permits, certifications.
- Policies & procedures, SOP: updated versions with approval dates.
- NHVAS Audit, CoR, maintenance records of vehicles

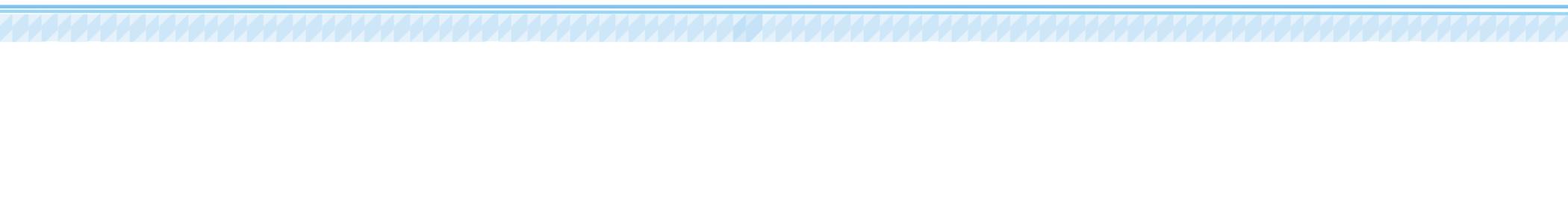
Demonstrated Internal Controls

- Segregation of duties: no single person controls all steps.
 - Approval workflows: check signatures/authorizations are documented.
 - Access controls: verify system permissions and user roles.
 - Risk management: identify high-risk areas and mitigation steps.
- 

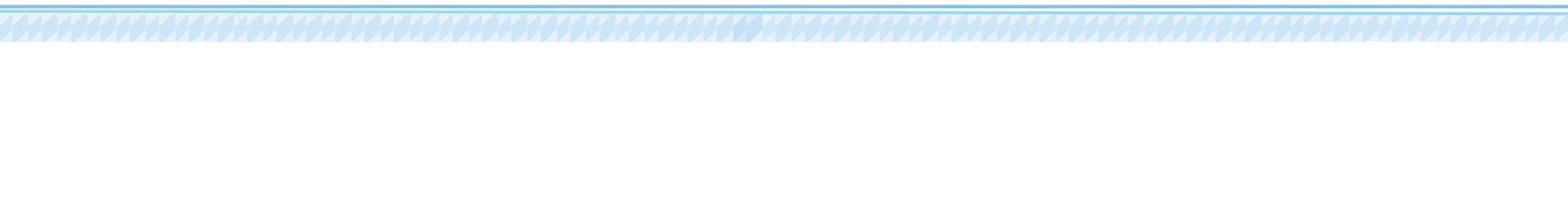
Self-Audit & Review

- Check compliance: verify adherence to laws/regulations.
 - Spot errors: look for inconsistencies or missing documentation.
 - Driver training documentation - Have all completed the VRU training and are certificates readily accessed for viewing?
 - Document corrective actions: note fixes made before audit.
- 

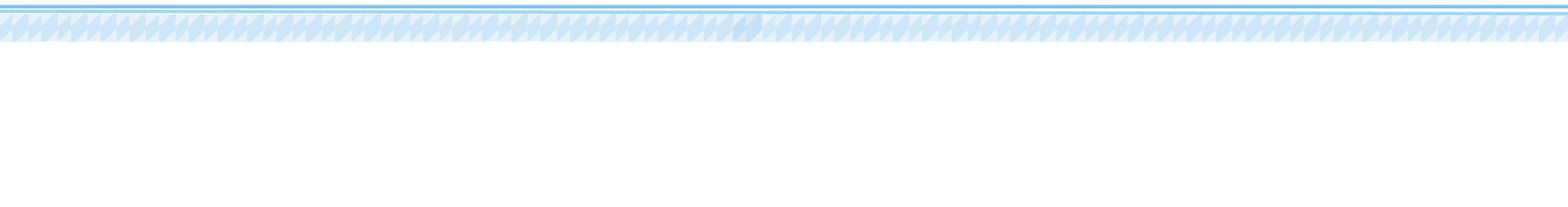
Team Preparation

- Assign audit liaisons: point persons for each audit area.
 - Train staff: ensure they understand policies and can answer questions.
 - Communicate expectations: explain timelines and cooperation needed.
 - Prepare Q&A: anticipate auditor inquiries and rehearse responses.
- 

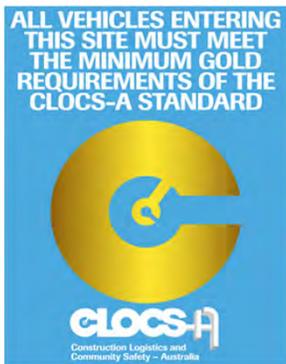
Audit Logistics

- Audit binder/folder: physical or digital with key documents.
 - Workspace setup: provide auditors access to records/systems as required.
 - Technology check: ensure systems are functional and accessible and up to date.
 - Confidentiality protocols: secure sensitive information.
- 

Post-Audit Follow-Up

- Review findings: understand recommendations and corrective actions (where applicable).
 - Implement improvements: update processes and strengthen controls (where applicable).
 - Track progress: monitor compliance improvements.
 - Prepare for next cycle: integrate audit readiness into routine.
- 

Accreditation Levels



Gold



Silver

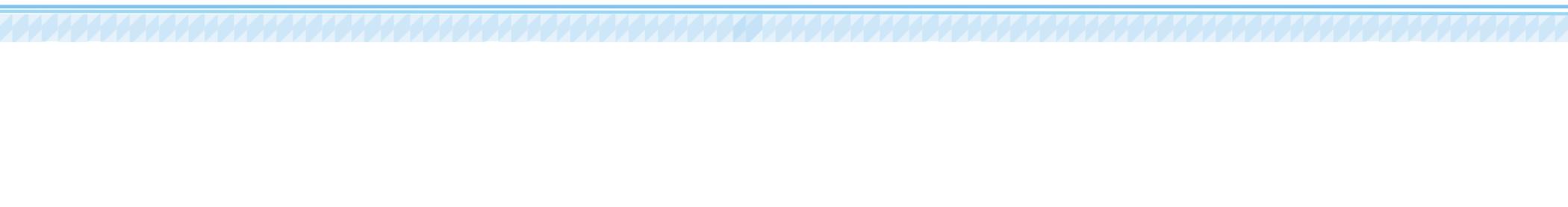


Bronze

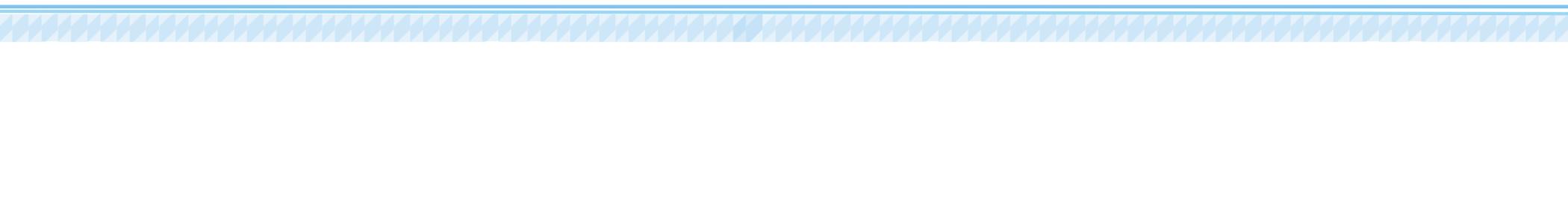
- Visit www.clocs-a.org.au for details on the requirements for each level.
- Accreditation categories:
 - Transport
 - Construction
 - Construction with its own fleet (Combined)

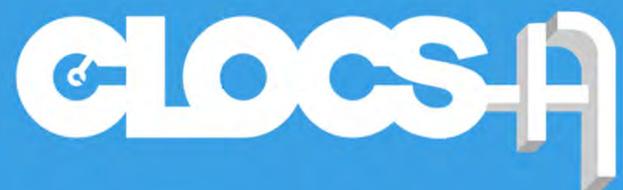
Once Accredited

Congratulations!

- Each vehicle assessed by the Auditor receives a decal advising the level the vehicle achieved (gold, silver, bronze)
 - The organisation receives a banner to display at their gate advising that it is a CLOCS-A approved depot or site.
 - A certificate is issued to the Depot/Site for use in future project applications
 - Next Audit is online and in 12 months.
- 

Resources Available to Assist:

- [Checklists for self-assessment](#)
 - [Accreditation Application Training Course](#) (free)
 - [Heavy Vehicle Inspection Guide](#)
 - [CLOCS-A Standard](#)
 - [Risk Register \(HIRA\)](#)
 - [CLOCS-A Standard Fact Sheet](#)
 - [Tier Breakdown fact sheet](#)
 - [CLOCS-A Project Rating Tools](#)
 - Helpline: 1300 68 11 34
- 



Thank you



CLOCS-A - Case Study

Background

BildGroup: Leading Australian civil infrastructure firm

Operates across road, urban, and landscaping projects

Focus on safety, compliance, and community impact



The Challenges



Urban Logistics Challenges

- Risk of accidents with pedestrians & cyclists
- Need to meet stricter safety standards
- Growing focus on community impact
- Desire to streamline logistics operations



Why CLOCS-A?

Strategic Reasons for Accreditation

- Improves safety for vulnerable road users
- Aligns with government & prime contractor expectations
- Enhances public and client trust
- Provides competitive advantage in tenders



Operational Benefits

-  Demonstrate best-practice management of construction transport safety risks
-  Have a positive impact on brand reputation
-  Improved safety across our supply chain
-  Minimum safety standards for heavy vehicles
-  Increased driver involvement and awareness
-  Improved logistics planning and reduction in traffic congestion
-  Enhanced engagement and increased community trust



Implementation



Steps to CLOCS-A:

1. Internal review & standards alignment
2. Exec. and Senior Leader info session
3. Self Assessment and initial application
4. Working towards/Key Focus Areas
5. Audit and certification



“What working towards meant”



- Safe Driving Guidelines and company wide TBTs
- Review of Cat 3 vs. Driver Medicals
- Subcontractor conversations
- Revised minimum HV purchasing requirements
- Standardising licence currency and driving history checks
- Improving project establishment steps
- VRU training for HV drivers



Results

Key Milestones:

- Bronze CLOCS-A Accreditation
- Awarded March 28, 2025
- First company in Australia to receive this
- Acknowledged leadership in transport safety



Conclusion

CLOCS-A as a Strategic Decision:

- Safety and sustainability integrated into operations
- Reputation enhanced as a responsible contractor
- Long-term value for clients, community, and business



Thank You





Thank you

